



## 3. Parsing

### 3.1 Context-Free Grammars and Push-Down Automata

3.2 Recursive Descent Parsing

3.3 LL(1) Property

3.4 Error Handling

# Context-Free Grammars



## Problem

Regular Grammars cannot handle central recursion

$$E = x \mid (" E ")^*.$$

For such cases we need context-free grammars

## Definition

A grammar is called ***context-free*** (CFG) if all its productions have the following form:

$$A = \alpha. \quad A \in \text{NTS}, \alpha \text{ non-empty sequence of TS and NTS}$$

In EBNF the right-hand side  $\alpha$  can also contain the meta symbols |, (), [] and {}

## Example

$$\text{Expr} = \text{Term} \{ ( "+" \mid "-" ) \text{Term} \}.$$

$$\text{Term} = \text{Factor} \{ ( "*" \mid "/" ) \text{Factor} \}.$$

$$\text{Factor} = \text{id} \mid (" \text{Expr} ")^*.$$

indirect central recursion

Context-free grammars can be recognized by ***push-down automata***

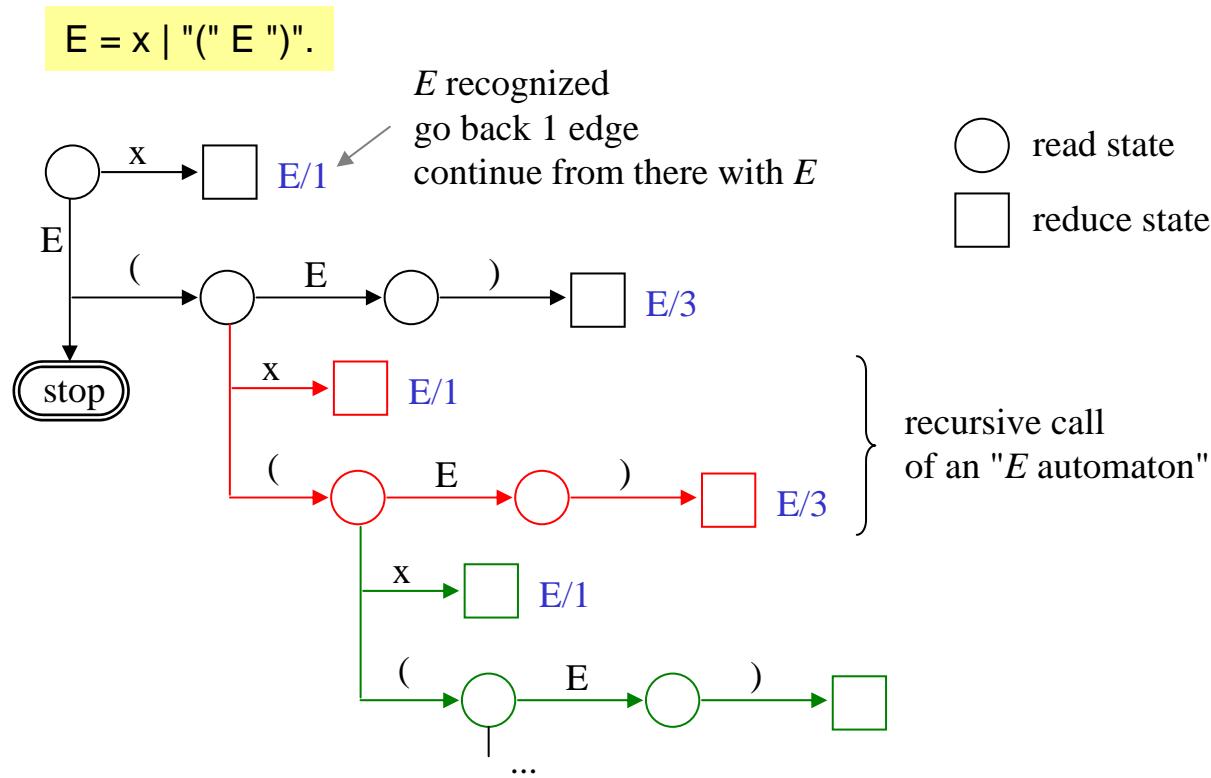
# Push-Down Automaton (PDA)



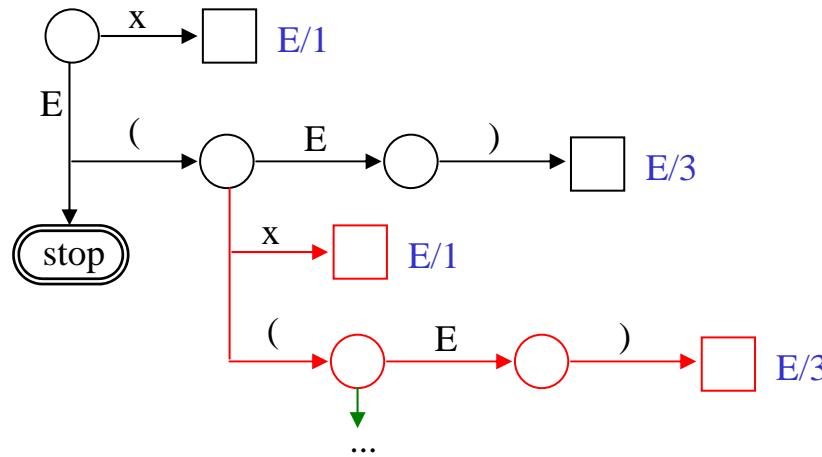
## Characteristics

- Allows transitions with terminal symbols and nonterminal symbols
- Uses a stack to remember the visited states

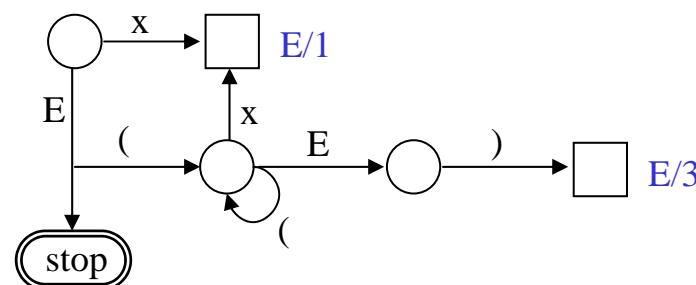
## Example



## *Push-Down Automaton (cont.)*



Can be simplified to

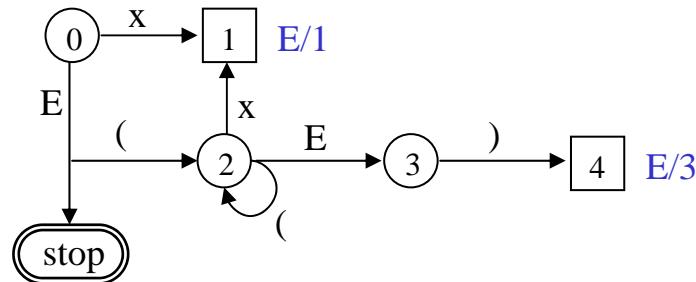


Needs a stack in order to find its way back through the visited states

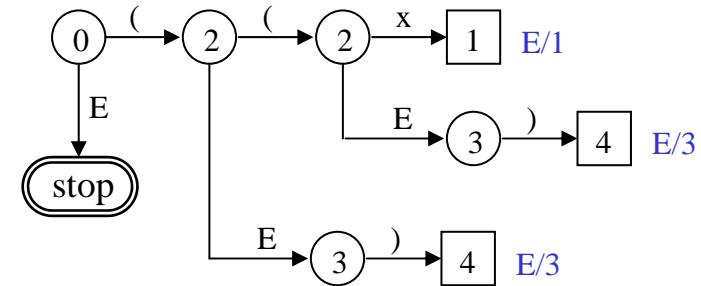
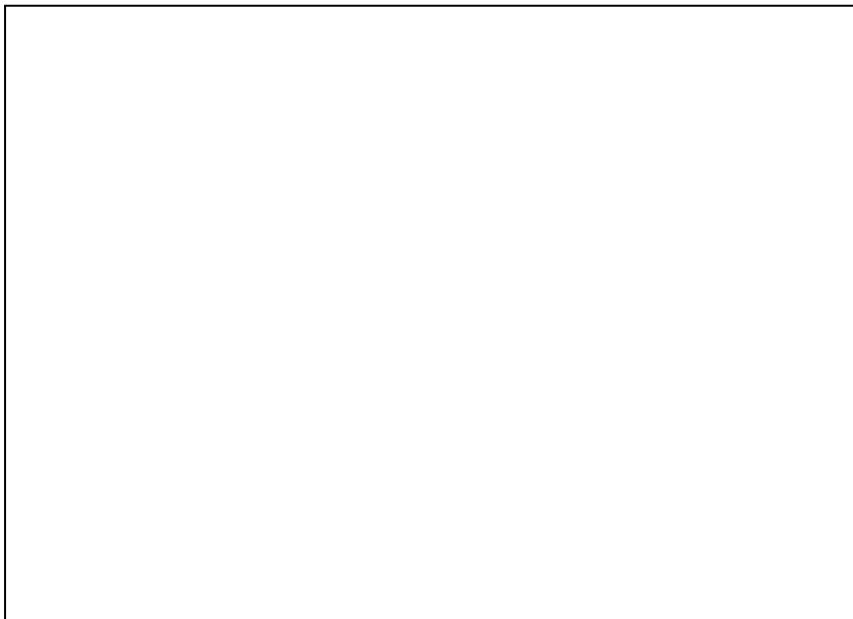
# *How a PDA Works*



**Example:** input is  $((x))$



**Visited states are stored in a stack**



# *Limitations of Context-Free Grammars*



## **CFGs cannot express *context conditions***

For example:

- *Every name must be declared before it is used*

The declaration belongs to the context of the use; the statement

`x = 3;`

may be right or wrong, depending on its context

- *The operands of an expression must have compatible types*

Types are specified in the declarations, which belong to the context of the use

## **Possible solutions**

- *Use context-sensitive grammars*

too complicated

- *Check context conditions during semantic analysis*

i.e. the syntax allows sentences for which the context conditions do not hold

`int x = "three";`      syntactically correct

                                  semantically wrong

The error is detected during semantic analysis (not during syntax analysis).

# *Context Conditions*



**Semantic constraints that are specified for every production**

For example in Z#

Statement = Designator "=" Expr ";".

- *Designator* must be a variable, an array element or an object field.
- The type of *Expr* must be assignment compatible with the type of *Designator*.

Factor = "new" ident "[" Expr "]".

- *ident* must denote a type.
- The type of *Expr* must be *int*.

Designator<sub>1</sub> = Designator<sub>2</sub> "[" Expr "]".

- The type of *Designator*<sub>2</sub> must be an array type.
- The type of *Expr* must be *int*.

# *Regular versus Context-free Grammars*



	Regular Grammars	Context-free Grammars
Used for	Scanning	Parsing
Recognized by	<b>DFA</b> (no stack) <p>The diagram shows an input stream represented as a horizontal sequence of boxes. An arrow points from this stream down to a box labeled "DFA (state)".</p>	<b>PDA</b> (stack) <p>The diagram shows an input stream represented as a horizontal sequence of boxes. An arrow points from this stream down to a box labeled "DFA (state)". Below the DFA box is a stack represented as a vertical sequence of boxes, with an upward-pointing arrow between the DFA box and the stack.</p>
Productions	$A = a \mid b C.$	$A = \alpha.$
Problems	nested language constructs	context-sensitive constructs (e.g. type checks, ...)



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# Recursive Descent Parsing



- Top-down parsing technique
- The syntax tree is build from the start symbol to the sentence (top-down)

**Example**

*grammar*

$$A = a A c \mid b b .$$

*input*

a b b c

*start symbol*

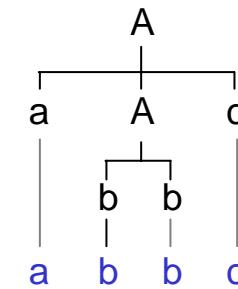
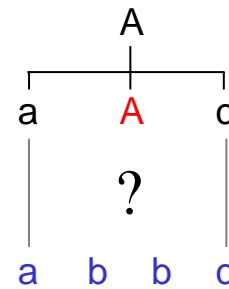
A

?

which  
alternative  
fits?

*input*

a b b c



**The correct alternative is selected using ...**

- the **lookahead token** from the input stream
- the **terminal start symbols** of the alternatives

# Static Variables of the Parser



## Lookahead token

At any moment the parser knows the next input token

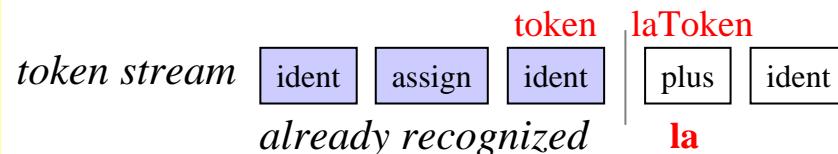
```
static int la; // token number of the lookahead token
```

It remembers two input tokens (for semantic processing)

```
static Token token; // most recently recognized token  
static Token laToken; // lookahead token (still unrecognized)
```

These variables are set in the method *Scan()*

```
static void Scan () {  
    token = laToken;  
    laToken = Scanner.Next();  
    la = laToken.kind;  
}
```



*Scan()* is called at the beginning of parsing  $\Rightarrow$  first token is in *la*

# How to Parse Terminal Symbols



## Pattern

symbol to be parsed:      a  
parsing action:            **Check(a);**

## Needs the following auxiliary methods

```
static void Check (int expected) {  
    if (la == expected) Scan(); // recognized => read ahead  
    else Error( Token.names[expected] + " expected" );  
}
```

```
public static void Error (string msg) {  
    Console.WriteLine("– line {0}, col {1}: {2}", laToken.line, laToken.col, msg);  
    throw new Exception("Panic Mode"); // for a better solution see later  
}
```

in class *Token*:

```
public static string[] names = {"?", "identifier", "number", ..., "+", "-", ...};
```

ordered by  
token codes

The names of the terminal symbols are declared as constants in class *Token*

```
public const int NONE = 0,  
        IDENT = 1, NUMBER = 2, ...,  
        PLUS = 4, MINUS = 5, ... ;
```

# How to Parse Nonterminal Symbols



## Pattern

symbol to be parsed: A  
parsing action: A(); // call of the parsing method A

**Every nonterminal symbol is recognized by a parsing method with the same name**

```
private static void A() {  
    ... parsing actions for the right-hand side of A ...  
}
```

## Initialization of the Z# parser

```
public static void Parse () {  
    Scan();           // initializes token, laToken and la  
    Program();        // calls the parsing method of the start symbol  
    Check(Token.EOF); // at the end the input must be empty  
}
```

# How to Parse Sequences

## Pattern

production:

$A = a \ B \ c.$

parsing method:

```
static void A () {  
    // la contains a terminal start symbol of A  
    Check(a);  
    B();  
    Check(c);  
    // la contains a follower of A  
}
```

## Simulation

$A = a \ B \ c.$

$B = b \ b.$

<pre>static void A () {     Check(a);     B();     Check(c); }</pre>	<i>remaining input</i>
	a b b c
	b b c
	c
→ <pre>static void B() {     Check(b);     Check(b); }</pre>	<i>remaining input</i>
	b b c
	b c
	c

# How to Parse Alternatives



**Pattern**                     $\alpha \mid \beta \mid \gamma$                      $\alpha, \beta, \gamma$  are arbitrary EBNF expressions

**Parsing action**

```
if (la ∈ First(α)) { ... parse α ... }
else if (la ∈ First(β)) { ... parse β ... }
else if (la ∈ First(γ)) { ... parse γ ... }
else Error("..."); // find a meaningful error message
```

## Example

A = a B | B b.  
B = c | d.

First(aB) = {a}  
First(Bb) = First(B) = {c, d}

```
static void A () {
    if (la == a) {
        Check(a);
        B();
    } else if (la == c || la == d) {
        B();
        Check(b);
    } else Error ("invalid start of A");
}
```

# *How to Parse EBNF Options*



**Pattern**                     $[\alpha]$                      $\alpha$  is an arbitrary EBNF expression

**Parsing action**             $\text{if } (\text{la} \in \text{First}(\alpha)) \{ \dots \text{parse } \alpha \dots \} // \text{no error branch!}$

## Example

A = [ a b ] c.

```
static void A () {
    if (la == a) {
        Check(a);
        Check(b);
    }
    Check(c);
}
```

Example: parse a b c  
              parse c

# How to Parse EBNF Iterations



**Pattern**

{  $\alpha$  }

$\alpha$  is an arbitrary EBNF expression

**Parsing action**

while ( $la \in \text{First}(\alpha)$ ) { ... parse  $\alpha$  ... }

**Example**

```
A = a { B } b.  
B = c | d.
```

```
static void A () {  
    Check(a);  
    while (la == c || la == d) B();  
    Check(b);  
}
```

Example: parse a c d c b  
parse a b

alternatively ...

```
static void A () {  
    Check(a);  
    while (la != b && la != Token.EOF) B();  
    check(b);  
}
```

without EOF: danger of an infinite loop,  
if  $b$  is missing in the input

# *How to Deal with Large First Sets*



**If the set has more than 4 elements: use class *BitArray***

example:  $\text{First}(A) = \{a, b, c, d, e\}$   
 $\text{First}(B) = \{f, g, h, i, j\}$

***The First sets are initialized at the beginning of the program***

```
using System.Collections;  
  
static BitArray firstA = new BitArray(Token.names.Length);  
firstA[a] = true; firstA[b] = true; firstA[c] = true; firstA[d] = true; firstA[e] = true;  
  
static BitArray firstB = new BitArray(Token.names.Length);  
firstB[f] = true; firstB[g] = true; firstB[h] = true; firstB[i] = true; firstB[j] = true;
```

***Set test***

$C = A \mid B.$

```
static void C () {  
    if (firstA[la]) A();  
    else if (firstB[la]) B();  
    else Error("invalid C");  
}
```

# *How to Deal with Large First Sets*



**If the set has less than 5 elements: use explicit checks (which is faster)**

e.g.:  $\text{First}(A) = \{a, b, c\}$

```
if (la == a || la == b || la == c) ...
```

**If the set is an interval: use an interval test**

```
if (a <= la && la <= c) ...
```

Token codes can often be chosen so that frequently checked sets form intervals

## **Example**

$$\text{First}(A) = \{ a, c, d \}$$

$$\text{First}(B) = \{ a, d \}$$

$$\text{First}(C) = \{ b, e \}$$

```
const int
    a = 0,
    d = 1,
    c = 2,
    b = 3,
    e = 4,
```

A diagram illustrating the mapping of token codes to first sets. On the left, five variables are listed with their values: a=0, d=1, c=2, b=3, and e=4. Braces on the right group these variables into three sets: First(B) contains 'a' and 'd'; First(A) contains 'a', 'c', and 'd'; and First(C) contains 'b' and 'e'.

First(B)  
First(A)  
First(C)



# Optimizations

## Avoiding multiple checks

$A = a \mid b.$

```
static void A () {
    if (la == a) Scan(); // no Check(a);
    else if (la == b) Scan();
    else Error("invalid A");
}
```

$A = \{ a \mid B d \}.$

```
static void A () {
    while (la == a || la == b || la == c) {
        if (la == a) Scan();
        else { // no check any more
            B(); Check(d);
        } // no error case
    }
}
```

## More efficient scheme for parsing alternatives in an iteration

$A = \{ a \mid B d \}.$

```
static void A () {
    for (;;) {
        if (la == a) Scan();
        else if (la == b || la == c) { B(); Check(d); }
        else break;
    }
}
```

# Optimizations



## Frequent iteration pattern

$\alpha \{ \text{separator } \alpha \}$

```
for (;;) {
    ... parse  $\alpha$  ...
    if (la == separator) Scan(); else break;
}
```

*Example*

$\text{ident} \{ \text{,} \text{,} \text{ ident} \}$

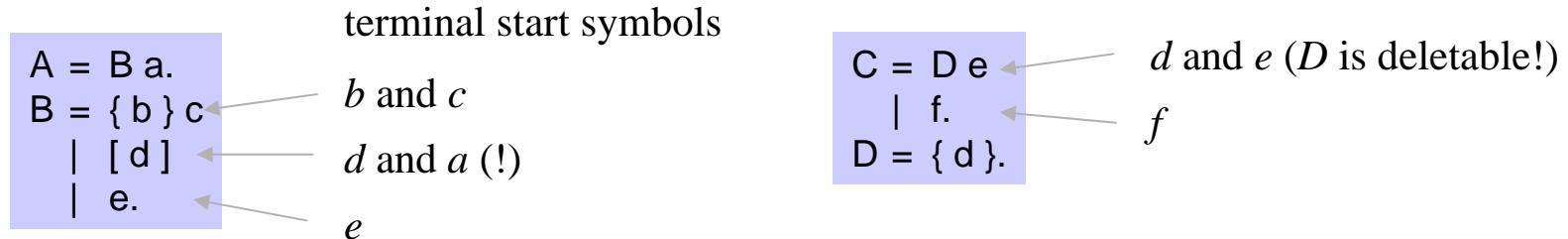
```
for (;;) {
    Check(ident);
    if (la == Token.COMMA) Scan(); else break;
}
```

input e.g.: a , b , c :

# Computing Terminal Start Symbols Correctly



## Grammar



## Parsing methods

```
static void A () {  
    B(); Check(a);  
}
```

```
static void B () {  
    if (la == b || la == c) {  
        while (la == b) Scan();  
        Check(c);  
    } else if (la == d || la == a) {  
        if (la == d) Scan();  
    } else if (la == e) {  
        Scan();  
    } else Error("invalid B");  
}
```

```
static void C () {  
    if (la == d || la == e) {  
        D(); Check(e);  
    } else if (la == f) {  
        Scan();  
    } else Error("invalid C");  
}
```

```
static void D () {  
    while (la == d) Scan();  
}
```

# *Recursive Descent and the Syntax Tree*



**The syntax tree is only built implicitly**

- it is denoted by the methods that are currently active
- i.e. by the productions that are currently under examination

**Example**   A = a B c.  
                 B = d e.

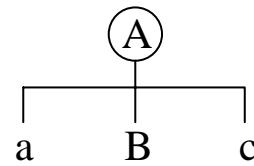
call A()

```
static void A () {  
    Check(a); B(); Check(c);  
}
```

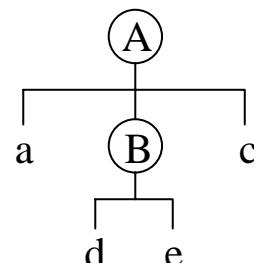
recognize *a*  
call B()

```
static void B () {  
    Check(d); Check(e);  
}
```

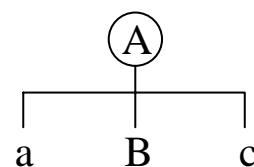
recognize *d* and *e*  
return from B()



A in process



A in process  
B in process



A in process

"stack"



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# *LL(1) Property*



Precondition for recursive descent parsing

**LL(1)** ... can be analyzed from Left to right  
with Left-canonical derivations (leftmost NTS is derived first)  
and 1 lookahead symbol

## **Definition**

1. A grammar is LL(1) if all its productions are LL(1).
2. A production is LL(1) if for all its alternative

$$\alpha_1 \mid \alpha_2 \mid \dots \mid \alpha_n$$

the following condition holds:

$$\text{First}(\alpha_i) \cap \text{First}(\alpha_j) = \{ \} \quad (\text{for any } i \text{ and } j)$$

## **In other words**

- The terminal start symbols of all alternatives of a production must be pairwise disjoint.
- The parser must always be able to select one of the alternatives by looking at the lookahead token.



# How to Remove LL(1) Conflicts

## Factorization

```
IfStatement = "if" "(" Expr ")" Statement  
          | "if" "(" Expr ")" Statement "else" Statement.
```

Extract common start sequences

```
IfStatement = "if" "(" Expr ")" Statement (  
                      | "else" Statement  
                    ).
```

... or in EBNF

```
IfStatement = "if" "(" Expr ")" Statement [ "else" Statement ].
```

## Sometimes nonterminal symbols must be inlined before factorization

```
Statement = Designator "=" Expr ";"  
          | ident "(" [ ActualParameters ] ")" ";".  
Designator = ident { "." ident }.
```

Inline *Designator* in *Statement*

```
Statement = ident { "." ident } "=" Expr ";"  
          | ident "(" [ ActualParameters ] ")" ";".
```

then factorize

```
Statement = ident ( { "." ident } "=" Expr ";"  
                      | "(" [ ActualParameters ] ")" ";"  
                    ).
```



# *How to Remove Left Recursion*

**Left recursion is always an LL(1) conflict**

For example

```
IdentList = ident | IdentList "," ident.
```

generates the following phrases

```
ident  
ident "," ident  
ident "," ident "," ident  
...  
...
```

can always be replaced by iteration

```
IdentList = ident { "," ident }.
```

# *Hidden LL(1) Conflicts*



**EBNF options and iterations are hidden alternatives**

$$A = [ \alpha ] \beta. \Leftrightarrow A = \alpha \beta \mid \beta. \quad \alpha \text{ and } \beta \text{ are arbitrary EBNF expressions}$$

## **Rules**

$$\begin{aligned} A = [ \alpha ] \beta. & \quad \text{First}(\alpha) \cap \text{First}(\beta) \text{ must be } \{ \} \\ A = \{ \alpha \} \beta. & \quad \text{First}(\alpha) \cap \text{First}(\beta) \text{ must be } \{ \} \end{aligned}$$

$$\begin{aligned} A = \alpha [ \beta ]. & \quad \text{First}(\beta) \cap \text{Follow}(A) \text{ must be } \{ \} \\ A = \alpha \{ \beta \}. & \quad \text{First}(\beta) \cap \text{Follow}(A) \text{ must be } \{ \} \end{aligned}$$

$$A = \alpha \mid . \quad \text{First}(\alpha) \cap \text{Follow}(A) \text{ must be } \{ \}$$

# *Removing Hidden LL(1) Conflicts*



Name = [ ident "." ] ident.

Where is the conflict and how can it be removed?

Prog = Declarations ";" Statements.  
Declarations = D { ";" D }.

Where is the conflict and how can it be removed?



# Dangling Else

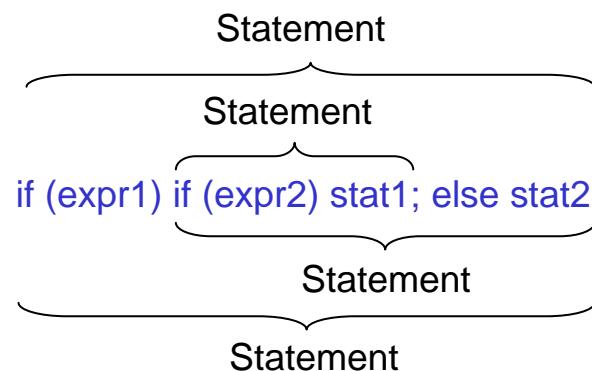
## If statement in C#

```
Statement = "if" "(" Expr ")" Statement [ "else" Statement ]
          | ... .
```

This is an LL(1) conflict!

$$\text{First}(\text{"else" Statement}) \cap \text{Follow}(\text{Statement}) = \{ \text{"else"} \}$$

It is even an ambiguity which cannot be removed



We can build 2 different syntax trees!

# Can We Ignore LL(1) Conflicts?



An LL(1) conflict is only a warning

The parser selects the first matching alternative

A = a b c ← if the lookahead token is *a* the parser selects this alternative  
| a d.

**Example: Dangling Else**

Statement = "if" "(" Expr ")" Statement [ "else" Statement ]  
| ... .

If the lookahead token is "else" here  
the parser starts parsing the option;  
i.e. the "else" belongs to the innermost "if"

if (expr1) if (expr2) stat1; else stat2;  
  Statement  
  Statement

Luckily this is what we want here.

# *Other Requirements for a Grammar*

(*Preconditions for Parsing*)



## Completeness

For every NTS there must be a production

$A = a \ B \ C \ .$

error!

$B = b \ b \ .$

no production for  $C$

## Derivability

Every NTS must be derivable (directly or indirectly) into a string of terminal symbols

$A = a \ B \ | \ c \ .$

error!

$B = b \ B \ .$

$B$  cannot be derived into a string of terminal symbols

## Non-circularity

A NTS must not be derivable (directly or indirectly) into itself ( $A \Rightarrow B_1 \Rightarrow B_2 \Rightarrow \dots \Rightarrow A$ )

$A = a \ b \ | \ B \ .$

error!

$B = b \ b \ | \ A \ .$

this grammar is circular because of  $A \Rightarrow B \Rightarrow A$



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# *Goals of Syntax Error Handling*



## **Requirements**

1. The parser should detect as many errors as possible in a single compilation
2. The parser should never crash (even in the case of abstruse errors)
3. Error handling should not slow down error-free parsing
4. Error handling should not inflate the parser code

## **Error handling techniques for recursive descent parsing**

- Error handling with "panic mode"
- Error handling with "general anchors"
- Error handling with "special anchors"

# Panic Mode



The parser gives up after the first error

```
static void Error (string msg) {  
    Console.WriteLine("-- line {0}, col {1}: {2}", laToken.line, laToken.col, msg);  
    throw new Exception("Panic Mode - exiting after first error");  
}
```

## Advantages

- cheap
- sufficient for small command languages or for interpreters

## Disadvantages

- not appropriate for production-quality compilers

# Error Handling with "General Anchors"



## Example

expected input:	a b c d ...
real input:	a <b>x</b> <b>y</b> d ... 

**Recovery** (synchronize the remaining input with the grammar)

**1. Find "anchor tokens" with which the parser can continue after the error.**

What are the tokens which the parser can continue with in the above situation?

c      successor of b (which was expected at the error position)

d      successor of b c

...

Anchors at this position are {c, d, ...}

**2. Skip input tokens until an anchor is found.**

x and y are skipped here, but d is an anchor; the parser can continue with it.

**3. Drive the parser to the position in the grammar from where it can continue.**

# Computing Anchors

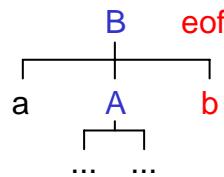


Every parsing method of a nonterminal  $A$  gets the current successors of  $A$  as parameters

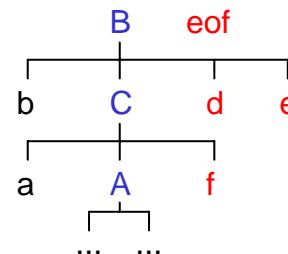
```
static void A (BitArray sux) {  
    ...  
}
```

$sux \dots$  successors of all NTS, that are currently in process

Depending on the current context  $sux_A$  can denote different sets



$$sux_A = \{b, eof\}$$



$$sux_A = \{f, d, e, eof\}$$

$sux$  always contains  $eof$  (the successor of the start symbol)

# Handling Terminal Symbols



Grammar

$A = \dots a s_1 s_2 \dots s_n .$

$s_i \in TS \cup NTS$

Parsing action

$\text{check}(a, \underbrace{\text{sux}_A \cup \text{First}(s_1) \cup \text{First}(s_2) \cup \dots \cup \text{First}(s_n)}_{\text{can be precomputed at compile time}});$

$\underbrace{\quad}_{\text{must be computed at run time}}$

```
static void Check (int expected, BitArray sux) {...}
```

Example

$A = a b c .$

```
static void A (BitArray sux) {  
    Check(a, Add(sux, fs1));  
    Check(b, Add(sux, fs2));  
    Check(c, sux);  
}
```

```
static BitArray fs1 = new BitArray();  
fs1[b] = true; fs1[c] = true;
```

computed at the beginning of the program

```
static BitArray Add (BitArray a, BitArray b) {  
    BitArray c = (BitArray) a.Clone();  
    c[b] = true;  
    return c;  
}
```

# Handling Nonterminal Symbols



Grammar

$A = \dots B s_1 s_2 \dots s_n .$

Parsing action

$B(sux_A \cup \text{First}(s_1) \cup \text{First}(s_2) \cup \dots \cup \text{First}(s_n));$

## Example

$A = a B c.$   
 $B = b b.$

```
static void A (BitArray sux) {  
    Check(a, Add(sux, fs3)); ← fs3 = {b, c}  
    B(Add(sux, fs4)); ← fs4 = {c}  
    Check(c, sux);  
}
```

```
static void B (BitArray sux) {  
    Check(b, Add(sux, fs5)); ← fs5 = {b}  
    Check(b, sux);  
}
```

The parsing method for the start symbol  $S$  is called as  $S(fs0)$ ; where  $fs0 = \{eof\}$

# *Skipping Invalid Input Tokens*



Errors are detected in *check()*

```
static void Check (int expected, BitArray sx) {  
    if (la == expected) Scan();  
    else Error(Token.names[expected] + " expected", sx);  
}
```

After printing an error message input tokens are skipped until an anchor occurs

```
static void Error (string msg, BitArray sx) {  
    Console.WriteLine("-- line {0}, col {1}: {2}", laToken.line, laToken.col, msg);  
    errors++;  
    while (!sx[la]) Scan(); // while (la <in sx) Scan();  
    // la ∈ sx  
}
```

```
static int errors = 0; // number of syntax errors detected
```

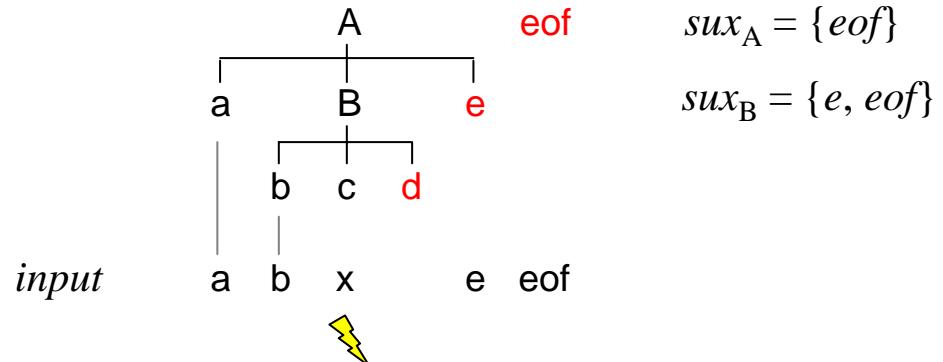
# Synchronizing With the Grammar



## Example

```
A = a B e.  
B = b c d.
```

```
static void A (BitArray sx) {  
    Check(a, Add(sux, fs1));  
    B(Add(sux, fs2));  
    Check(e, sx);  
}  
static void B (BitArray sx) {  
    Check(b, Add(sux, fs3));  
    Check(c, Add(sux, fs4));  
    Check(d, sx);  
}
```



the error is detected here; anchors = {d, e, eof}

1.  $x$  is skipped;  $la == e$  ( $\in$  anchors)
2. parser continues: *Check(d, sx)*;
3. detects an error again; anchors = {e, eof}
4. nothing is skipped, because  $la == e$  ( $\in$  anchors)
5. parser returns from *B()* and does *Check(e, sx)*;
6. recovery succeeded!

After the error the parser "jolts ahead" until it gets to a point in the grammar where the found anchor token is valid.

# *Suppressing Spurious Error Messages*



During error recovery the parser produces spurious error messages

## Solved by a simple heuristics

If less than 3 tokens were recognized correctly since the last error,  
the parser assumes that the new error is a spurious error.  
Spurious errors are not reported.

```
static int errDist = 3; // next error should be reported

static void Scan () {
    ...
    errDist++; // one more token recognized
}

public static void Error (string msg, BitArray sux) {
    if (errDist >= 3) {
        Console.WriteLine("-- line {0}, col {1}: {2}", laToken.line, laToken.col, msg);
        errors++;
    }
    while (!sux[la]) Scan();
    errDist = 0; // counting is restarted
}
```

# *Handling Alternatives*



$A = \alpha \mid \beta \mid \gamma .$

$\alpha, \beta, \gamma$  are arbitrary EBNF expressions

```
static void A (BitArray sux) {  
    // the error check is already done here so that the parser can synchronize with  
    // the starts of the alternatives in case of an error  
    if (la  $\notin$  (First( $\alpha$ )  $\cup$  First( $\beta$ )  $\cup$  First( $\gamma$ )))  
        Error("invalid A", sux  $\cup$  First( $\alpha$ )  $\cup$  First( $\beta$ )  $\cup$  First( $\gamma$ ));  
    // la matches one of the alternatives or is a legal successor of A  
    if (la  $\in$  First( $\alpha$ )) ... parse  $\alpha$  ...  
    else if (la  $\in$  First( $\beta$ )) ... parse  $\beta$  ...  
    else ... parse  $\gamma$  ... // no error check here; any errors have already been reported  
}
```

$\text{First}(\alpha) \cup \text{First}(\beta) \cup \text{First}(\gamma)$  can be precomputed at compile time  
 $\text{sux} \cup \dots$  must be computed at run time

# Handling EBNF Options and Iterations



## Options

A = [ α ] β.

```
static void A (BitArray sux) {
    // error check already done here, so that the parser can
    // synchronize with the start of α in case of an error
    if (la  $\notin$  (First(α)  $\cup$  First(β)))
        Error("...", sux  $\cup$  First(α)  $\cup$  First(β));
    // la matches α or β or is a successor of A
    if (la  $\in$  First(α)) ... parse α ...;
    ... parse β ...
}
```

## Iterations

A = { α } β.

```
static void A (BitArray sux) {
    for (;;) {
        // the loop is entered even if la  $\notin$  First(α)
        if (la  $\in$  First(α)) ... parse α ...;           // correct case 1
        else if (la  $\in$  First(β)  $\cup$  sux) break;          // correct case 2
        else Error("...", sux  $\cup$  First(α)  $\cup$  First(β)); // error case
    }
    ... parse β ...
}
```



## Example

A = a B | b {c d}.  
B = [b] d.

```
static void A (BitArray sux) {
    if (la != a && la != b)
        Error("invalid A", Add(sux, fs1)); // fs1 = {a, b}
    if (la == a) {
        Scan(); B(sux);
    } else if (la == b) {
        Scan();
        for (;;) {
            if (la == c) {
                Scan();
                Check(d, Add(sux, fs2)); // fs2 = {c}
            } else if (sux[la]) {
                break;
            } else {
                Error("c expected", Add(sux, fs2));
            }
        }
    }
}
```

```
static void B (BitArray sux) {
    if (la != b && la != d)
        Error("invalid B", Add(sux, fs3)); // fs3 = {b, d}
    if (la == b) Scan();
    Check(d, sux);
}
```

# *Assessment*



## Error handling with general anchors

### **Advantage**

- + systematically applicable

### **Disadvantages**

- slows down error-free parsing
- inflates the parser code
- complicated

# Error Handling With Special Anchors



**Error handling is only done at particularly "safe" positions**

i.e. at positions that start with keywords which do not occur at any other position in the grammar

For example

- start of Statement: `if, while, do, ...`
- start of Declaration: `public, static, void, ...`

anchor sets

Problem: *ident* can occur at both positions!

*ident* is not a safe anchor  $\Rightarrow$  omit it from the anchor set

**Code that has to be inserted at the synchronization points**

```
...           / anchor set at this synchronization point
if (la  $\notin$  expectedSymbols) {
    Error("..."); // no successor sets; no skipping of tokens in Error()
    while (la  $\notin$  (expectedSymbols  $\cup$  {eof})) Scan();
}
...           \ in order not to get into an endless loop
```

- No successor sets have to be passed to parsing methods
- Anchor sets can be computed at compile time
- After an error the parser "jolts ahead" to the next synchronization point



# Example

## Synchronization at the start of Statement

```
static void Statement () {
    if (!firstStat[la]) {
        Error("invalid start of statement");
        while (!firstStat[la] && la != Token.EOF) Scan();
    }
    if (la == Token.IF) { Scan();
        Check(Token.LPAR);
        Conditions();
        Check(Token.RPAR);
        Statement();
        if (la == Token.ELSE) { Scan(); Statement(); }
    } else if (la == Token.WHILE) {
        ...
    }
}
```

```
static BitArray firstStat = new BitArray();
firstStat[Token.WHILE] = true;
firstStat[Token.IF] = true;
...
```

}  
the rest of the parser  
remains unchanged  
(as if there were  
no error handling)

## No Synchronization in *Error()*

```
public static void Error (string msg) {
    if (errDist >= 3) {
        Console.WriteLine("-- line {0}, col {1}: {2}", laToken.line, laToken.col, msg);
        errors++;
    }
    errDist = 0; ←
}
```

heuristics with *errDist* can also  
be applied here

# Example of a Recovery



```
static void Statement () {
    if (!firstStat[la]) {
        Error("invalid start of statement");
        while (!firstStat[la] && la != Token.EOF) Scan();
    }
    if (la == Token.IF) { Scan();
        Check(Token.LPAR);
        Condition();
        Check(Token.RPAR);
        Statement();
        if (la == Token.ELSE) { Scan(); Statement(); }
        ...
    }
}
```

```
static void Check (int expected) {
    if (la == expected) Scan();
    else Error(...);
}
```

```
public static void Error (string msg) {
    if (errDist >= 3) {
        Console.WriteLine(...);
        errors++;
    }
    errDist = 0;
}
```

erroneous input: if a > b then max = a;

<i>la</i>	<i>action</i>
IF	Scan(); IF $\in firstStatement \Rightarrow$ ok
a	Check(LPAR); <b>error message:</b> ( expected
	Condition(); recognizes a > b
THEN	check(RPAR); <b>error message:</b> ) expected
	Statement(); THEN does not match $\Rightarrow$ error, but no error message
	THEN is skipped; synchronization with <i>ident</i> (if in <i>firstStat</i> )
max	

# *Synchronization at the Start of an Iteration*



For example

Block = "{" { Statement } "}".

Standard pattern in this case

```
static void Block () {
    Check(Token.LBRACE);
    while (firstStat[la])
        Statement();
    Check(Token.RBRACE);
}
```

Problem: If the next token does not match *Statement* the loop is not executed.  
Synchronization point in *Statement* is never reached.

# *Synchronization at the Start of an Iteration*



For example

```
Block = "{" { Statement } "}".
```

Better to synchronize at the beginning of the iteration

```
static void Block() {
    Check(Token.LBRACE);
    for (;;) {
        if (la ∈ First(Statement)) Statement();           // correct case 1
        else if (la ∈ {rbrace, eof}) break;               // correct case 2
        else {
            Error("invalid start of Statement");
            do Scan(); while (la ∈ (First(Statement) ∪ {rbrace, eof}));
        }
    }
    Check(Token.RBRACE);
}
```

No synchronization in *Statement()* any more

```
static void Statement () {
    if (la == Token.IF) { Scan(); ...
}
```

# *Assessment*



## Error handling with special anchors

### **Advantages**

- + does not slow down error-free parsing
- + does not inflate the parser code
- + simple

### **Disadvantage**

- needs experience and "tuning"